

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Norman H. Margolus                      Art Unit : 2186  
Serial No. : 10/752,733                              Examiner : Fred Ehichioya  
Filed : January 7, 2004                              Conf. No. : 4737  
Title : HISTORY PRESERVATION IN A COMPUTER STORAGE SYSTEM

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant requests consideration of the references listed on the attached PTO-1449 form.  
Applicant does not concede that the listed references are prior art. Under 37 C.F.R. § 1.98  
(a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed.  
Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

October 2, 2006  
Date of Deposit

Suzanne C. O'Neill  
Signature

Suzanne C O'Neill  
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10/05/2006 HDESTA1 00000038 10752733

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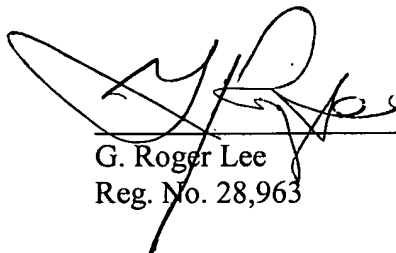


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Attorney's Docket No.: 11656-004009

Respectfully submitted,

Date: 10/2/06



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Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. <b>11656-004009</b>	Application No. <b>10/752,733</b>
	Applicant <b>Norman H. Margolus</b>		
	Filing Date <b>January 7, 2004</b>	Group Art Unit <b>2186</b>	

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	7,055,008	05/2006	Niles et al.			
	AB	7,054,867	05/2006	Bosley et al.			
	AC	6,971,018	11/2005	Witt et al.			
	AD	6,952,737	10/2005	Coates et al.			
	AE	6,928,442	08/2005	Farber et al.			
	AF	6,618,735	09/2003	Krishnaswami et al.			
	AG	6,535,867	03/2003	Waters			
	AH	6,529,995	03/2003	Shepherd			
	AI	6,209,000	03/2001	Klein et al.			
	AJ	6,023,706	02/2000	Schmuck et al.			
	AK	5,819,295	10/1998	Nakagawa et al.			
	AL	5,787,247	07/1998	Norin et al.			
	AM	5,689,699	11/1997	Howell et al.			
	AN	5,625,818	04/1997	Zarmer et al.			
	AO	2006/0010150	01/2006	Shaath et al.			
	AP	2003/0220929	11/2003	Tolpin et al.			
	AQ	2003/0028761	02/2003	Platt			
	AR	2003/0004975	01/2003	Nakano et al.			

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AS							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	